



PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

1

of

3

Complete if Known

Application Number 09/902,479

Filing Date July 9, 2001

First Named Inventor Leon

Art Unit 2161

Examiner Name Unassigned

Attorney Docket Number 006969-022311US

U.S. PATENT DOCUMENTS

Examiner	Cite No. ¹	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
J.B	AA	5,963,928	10-05-1999	Lee	
	AB	5,920,850	07-06-1999	Hunter et al.	
	AC	5,822,738	10-13-1998	Shah et al.	
	AD	5,793,867	08-11-1998	Cordery et al.	
	AE	5,781,438	07-14-1998	Lee et al.	
	AF	5,742,683	04-21-1998	Lee et al.	
	AG	5,715,164	02-03-1998	Liechti et al.	
	AH	5,688,056	11-18-1997	Peyret	
	AI	5,666,421	09-09-1997	Pastor et al.	
	AJ	5,638,442	06-10-1997	Gargiulo et al.	
	AK	5,625,694	04-29-1997	Lee et al.	
	AL	5,448,641	09-05-1995	Pintsov et al.	
	AM	5,377,268	12-27-1994	Hunter	
	AN	5,280,531	01-18-1994	Hunter	
	AO	5,231,668	07-27-1993	Kravitz	
	AP	5,181,245	01-19-1993	Jones	
	AQ	5,142,577	08-25-1992	Pastor	
	AR	4,949,381	08-14-1990	Pastor	
	AS	4,853,961	08-01-1989	Pastor	
	AT	4,853,865	08-01-1989	Sansone et al.	
	AU	4,831,555	05-16-1989	Sansone et al.	
	AV	4,813,912	03-21-1989	Chickneas et al.	
	AW	4,812,994	03-14-1989	Taylor et al.	
	AX	4,775,246	10-04-1988	Edelmann et al.	
	AY	4,757,537	07-12-1988	Edelmann et al.	
	AZ	4,743,747	05-10-1988	Fougere et al.	
	BA	4,725,718	02-16-1988	Sansone et al.	
	BB	4,657,697	04-14-1987	Chiang	
J.B	BC	4,447,890	05-08-1984	Duwei et al.	

RECEIVED
OCT 17 2002
GROUP 3600Examiner
SignatureDate
Considered

09/04/05

¹ EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

² Applicant's unique citation designation number (optional). ³ Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ⁴ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁵ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁶ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁷ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231
PA 3253367 v1



PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0851-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO		Complete if Known			
		Application Number	09/902,479		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Filing Date	July 9, 2001		
		First Named Inventor	Leon		
		Art Unit	2161		
		Examiner Name	Unassigned		
Sheet	2	of	3	Attorney Docket Number	006969-022311US

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	† ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
J.B	BD	EP	845 762	A2	06-03-1998			<input type="checkbox"/>
	BE	EP	825 565	A2	02-25-1998			<input type="checkbox"/>
	BF	WO	00/49580	A1	08-24-2000			<input type="checkbox"/>
	BG	WO	98/20461	A2	05-14-1998			<input type="checkbox"/>
	BH	WO	98/13790	A1	04-02-1998			<input type="checkbox"/>
J.B	BI	GB	1 536 403		12-20-1978			<input type="checkbox"/>
								<input type="checkbox"/>
								<input type="checkbox"/>

RECEIVED
OCT 17 2002
GROUP 3600

Examiner Signature		Date Considered	09/04/05
-----------------------	--	--------------------	----------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 18 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231
PA 3253367 v1



PTO/SB/08B (10-01)

Approved for use through 10/31/2002. OMB 0851-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449B/PTO		Complete if Known	
		Application Number	09/902,479
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Filing Date	July 9, 2001
		First Named Inventor	Leon
		Art Unit	2161
		Examiner Name	Unassigned
		Attorney Docket Number	006969-022311US
(use as many sheets as necessary)			
Sheet	3	of	3

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
J.B	BJ	"Information-Based Indicia Program (IBIP), Performance Criteria for Information-Based Indicia and Security Architecture for Closed IBI Postage Metering Systems (PCIBI-C)" 12 January 1999 (1999-01-12), United States Postal Service, dated January 12, 1999.	
	BK	"Information Based Indicia Program (IBIP) Indicum Specification," United States Postal Service, dated June 13, 1996.	
	BL	"Information Based Indicia Program Postal Security Device Specification, United States Postal Service, dated June 13, 1996	
	BM	"Information Based Indicia Program Host System Specification [Draft]," United States Postal Service, dated October 9, 1996	
	BN	"Information-Based Indicia Program (IBIP), Performance Criteria for Information-Based Indicia and Security Architecture for IBI Postage Metering Systems (PCIBISAIBIPMS)," United States Postal Service, dated August 19, 1998	
	BO	United States Postal Service, "Performance Criteria For Information-Based Indicia And Security Architecture For Open IBI Postage Evidencing Systems," Information Based Indicia Program (IBIP), June 25, 1999 XP-002161216	
	BP	BARKER-BENFIELD, "First Union Offers Online Transactions," <u>Florida Times-Union</u> , 1/28/94.	
J.B	BQ	FIBS PUB 140-1, Federal Information Processing Standards Publication, (January 11, 1994) Security Requirements for Cryptographic Modules, U.S. Department of Commerce, Ronald H. Brown, Secretary, National Institute of Standards and Technology; pp:1-51.	

RECEIVED

OCT 17 2002

GROUP 3600

Examiner Signature		Date Considered	09/04/05
-----------------------	--	--------------------	----------

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.
PA 3253367 v1